

05/08/01  
jc961 U.S. PTO

05-10-01

A/Box Seg

<b>UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)</b> <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>	Docket No.: <b>3198</b>
	Express Mail Label No.: <b>EL591095154US</b>

**TO THE ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SCREENING ASSAYS FOR AGONISTS OR ANTAGONISTS OF CD40 SIGNALING**

and invented by:

**Jonathan M.J. Derry, William C. Fanslow, III, and William C. Dougall.**

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)

of prior application No.:

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract ( 31 pages total)
3. ☒ Drawing(s); Number of Sheets 1
4. ☐ Oath or Declaration
  - a. ☐ Newly executed
  - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (for continuation/divisional application only)
  - c. ☐ With Power of Attorney      ☐ Without Power of Attorney
  - d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☒ Paper copy  
☐ Pages \_\_\_\_\_ - \_\_\_\_\_ of specification  
☒ Separately numbered pages 1 - 15
  - b. ☒ Computer Readable Copy
  - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
  - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

jc658 U.S. PTO  
09/851673  
05/08/01

09851673-050801

## UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No.: 3198

## Accompanying Application Parts

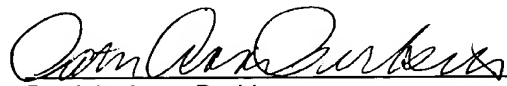
8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation  
(reel \_\_\_\_\_ frame \_\_\_\_\_)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☐ Preliminary Amendment
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL591095154US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Additional Enclosures (*please identify below*):

## Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	24	- 20 =	4	x \$18.00	\$72.00
Indep. Claims	5	- 3 =	2	x \$80.00	\$160.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE ( <i>specify purpose</i> )					\$0.00
TOTAL FILING FEE					\$942.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$942.00 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:

  
 Patricia Anne Perkins  
 Registration No. 34,693

Dated: May 8, 2001



22932



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Application of: Jonathan M.J. Derry, William C. Fanslow,  
III, and William C. Dougall

Docket No.: 3198

Group Art Unit: Unknown

Serial No: --to be assigned--

Examiner: Unknown

Filed: May 8, 2001

For: SCREENING ASSAYS FOR AGONISTS OR ANTAGONISTS OF CD40  
SIGNALING

**SEQUENCE STATEMENT UNDER 37 C.F.R. 1.821(f)**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants by their undersigned representative hereby state that the contents of the paper copy and the computer-readable copy of the Sequence Listing submitted herewith are the same.

Respectfully submitted,



Patricia Anne Perkins  
Registration No. 34,693

Immunex Corporation  
Law Department  
51 University Street  
Seattle, WA 98101  
Telephone (206) 587-0430

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Jonathan M.J. Derry, William C. Fanslow III and  
William C. Dougall

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SIGNALING

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL591095154US

Sir:

I hereby certify that the following correspondence is enclosed and being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard  
Request Transmittal (2 pgs. + copy)  
Specification including claims, abstract (31 pgs.)  
Sequence Listing (15 pgs. + disk copy)  
Sequence Statement (1 pg.)  
Drawings (Figure 1/1 sheet)

Date: May 8, 2001

Signed: Camilla C. Edwards  
Camilla C. Edwards

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